Attorney Docket No.: J3509(C) Serial No.: 09/764,734

Filed: January 17, 2001

Confirmation No.: 6621

PETITION FOR FILING A CORRECTED DECLARATION BY OTHER THAN ALL THE INVENTORS

Sir:

Ian Robert McMillan, Andrew Sjaak Landa, Stephen Anthony Makin and Paula Ann Johnson are the inventors of the record in the subject application. It has been determined that Harry Saul Winchell (deceased) should be included as a co-inventor. This Petition is being filed together with a request to correct inventorship to add Harry Saul Winchell as a co-inventor. Three of the four inventors originally named in the subject application, i.e., Ian Robert McMillan, Andrew Sjaak Landa, and Stephen Anthony Makin, have executed a supplemental declaration that adds Harry Saul Winchell as a co-inventor; the supplemental declaration has also been executed on behalf of Harry Saul Winchell by his co-executrixes Bryna Winchell Ross and Bari Winchell. As detailed in the accompanying Statement, after diligent effort, Unilever has either been unable to reach Paula Ann Johnson and/or Paula Ann Johnson is refusing to sign a supplemental declaration for the subject application.

Ian Robert McMillan, Andrew Sjaak Landa, Stephen Anthony Makin, and Harry Saul Winchell (by his co-executrixes Bryna Winchell Ross and Bari Winchell) request, through the attorney of record, Karen Klumas, that a corrected declaration adding Harry Saul Winchell as a co-inventor be entered, and that the above application be made by such inventors on behalf of themselves and Paula Ann Johnson, the non-signing inventor.

To avoid irreparable damage by incorrect naming of the inventors, the grant of this petition for filing by other than all the inventors is respectfully requested.

1

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As described in the accompanying Statement, a corrected declaration for the subject application, complying with the requirements of 37 C.F.R. § 1.63 was sent to Paula Ann Johnson at her last known address:

15 Hornby Avenue,

Bromborough, Wirral, United Kingdom, CH662 ATQ

Other attempts to contact Ms. Johnson are described in the accompanying Statement and supporting evidence.

The accompanying transmittal authorizes the charging of the fee due under 37 C.F.R. 1.17(g) for the filing of this petition to Deposit Account 12-1155.

Respectfully submitted,

/Karen E. Klumas/

Karen F. Klumas

Registration No. 31,070 Attorney for Applicant(s)

KEK/sa (201) 894-2332 Attorney Docket No.: J3509(C)
Serial No.: 09/764,734
Filed: January 17, 2001

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STATEMENT OF FACTS IN SUPPORT OF THE FILING OF A SUPPLEMENTAL DECLARATION ON BEHALF OF A NONSIGNING INVENTOR

Sir:

The following is a summary of the facts in support of this filing and attached evidence in support thereof:

- 1. The subject application (along with US Serial No. 11/103,284, US Serial No. 10/895,179; US Serial No. 10/198,435; US Pat. No. 6,793,914; US 6,893,630; and US Pat. No. 6,503,490) were the subject of an arbitration proceeding at the London Court of International Arbitration (Unilever U.K. Central Resources, Ltd. et al. v. IRC, Israel Resources Corporation et al.). Entitlement to such applications/patents were among the issues that were the subject of the arbitration. As a result of the arbitration, it was determined that Harry Saul Winchell (deceased) was a co-inventor of the referenced patents and applications and should be named as such. Unilever has acquired the entirety of the interest arising out of Harry Saul Winchell's co-invention of such applications and patents and is seeking to have Harry Saul Winchell named as a co-inventor in same.
- 2. Paula Ann Johnson, Ian Robert McMillan, Andrew Sjaak Landa, and Stephen Anthony Makin, all whom are citizens of the United Kingdom, were the inventors originally named in the subject application. A Supplemental Declaration that adds Harry Saul Winchell as a co-inventor has been executed by Ian Robert McMillan, Andrew Sjaak Landa, Stephen Anthony Makin and, on behalf of the deceased Harry Saul Winchell, by his co-executrixes Bryna Winchell Ross and Bari Winchell. (copies attached as Appendix A). After diligent effort, Unilever has not been able to obtain execution of the Supplemental Declaration by Paula Ann Johnson (who is also referred to in this Statement as Paula Johnson or Paula), who is no longer employed by Unilever.

Attorney Docket No.: J3509(C) Serial No.: 09/764,734

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- 3. In connection with Unilever's efforts to obtain execution of the Supplemental Declaration by Paula Ann Johnson, the undersigned requested her contact information from Dr. Christopher Whaley, the Registered European Patent Agent who prepared the UK application from which the instant application claims priority, and a member of the Unilever Patent Department in the United Kingdom.
- 4. The undersigned was advised by Dr. Whaley that the address that the Unilever Patent Group had on file for Paula Ann Johnson was the following: 15 Hornby Avenue, Bromborough, Wirral, United Kingdom, CH662 ATQ. Dr. Whaley also advised that in his efforts to confirm same, he came to understand that Paula Ann Johnson had moved and that this was no longer her address. The undersigned understood that Dr. Whaley based this information on conversations and/or correspondence with other Unilever employees, including Unilever's Susan Pye. See copies of the e-mail correspondence between Dr. Whaley and Susan Pye attached as Appendix B.
- 5. On September 30, 2009, a new address for Paula Ann Johnson failing to have been confirmed, a Supplemental Declaration for the subject application complying with the requirements of 37.C.F.R §§1.63 and 1.67, and including Harry Saul Winchell as a co-inventor, was sent to Paula Ann Johnson at the 15 Hornby Street address referenced above (her last known address) via International Registered Mail. Among the documents accompanying the Supplemental Declaration was a letter of explanation including the request that Paula sign and return the Supplemental Declaration to the undersigned. Copies of the letter, the mailing receipt and tracking receipt for same, and the Supplemental Declaration are provided as Appendix C.
- 6. Efforts to confirm Paula Johnson's address continued. Jayne Sloane, secretary to Dr. Whaley, located one Rachael Collins, a Unilever employee in the

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United Kingdom who is believed to be a relation of Paula Johnson's. The undersigned was advised by Ms. Sloane that Rachel Collins confirmed that Paula Johnson has moved from the 15 Hornby Street address, but that she (Ms. Sloane) was not been able to obtain contact information for Paula Johnson from Ms. Collins. See copies of Jayne Sloane's e-mail correspondence with Rachel Collins in November and December 1999 attached as Appendix D.

- On or about December 7, 2009, the undersigned e-mailed Rachael Collins a 7. Supplemental Declaration as described in Paragraph 2, together with a letter explaining the paperwork and requesting that Paula contact the undersigned or the undersigned's secretary Sally Aldahondo so that the Supplemental Declaration could be updated with Paula's current address or post office information and returned to her for signature. Also provided for Paula's signature was a Statement under 37 C.F.R.§ 1.324(b)(2) in connection with the addition of Harry Saul Winchell as a coinventor of US Pat. No. 6,503,490. The undersigned requested that Ms. Collins forward the e-mail and attachments to Paula. In an e-mail reply, Ms. Collins indicated that she would do so. See copies of the undersigned's e-mail correspondence with Rachel Collins in December 1999 (Appendix E) In addition to the referenced Supplemental Declaration and Statement, the December 7, 2009 e-mail from the undersigned included a copy of the subject application (including amendments filed in same) as well as a copy of US Patent. No. 6,503,490; copies of these attachments have not been included with this Statement.
- 8. In January, 2010, the undersigned was advised by Jayne Sloane that a signed Statement as described in Paragraph 7 had been returned to her, without a cover, in an envelope that did not have a return address. A copy of the signed Statement is provided in Appendix F. Notably, unlike the Supplemental Declaration, the Statement does not provide address or contact information for the person signing same.

Attorney Docket No.: J3509(C)

Serial No.: 09/764,734

Filed: January 17, 2001

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The efforts detailed above have not resulted in the undersigned either being contacted by Paula Johnson or receiving a Supplemental Declaration for the subject application that has been signed by Paula Johnson.

- 10. It is respectfully submitted that the facts set forth above and evidence provided in the attached Appendices demonstrate that after diligent effort, Unilever has either been unable to contact Paula Johnson and/or that Paula Johnson is refusing to sign a Supplemental Declaration for the subject application.
- 11. E-mail copies provided in the attached Appendices were printed from messages and/or attachments sent or forwarded by or to the undersigned and to the best of the undersigned's knowledge and belief are true copies thereof. The date in the lower left corner of such documents is the printing date thereof.

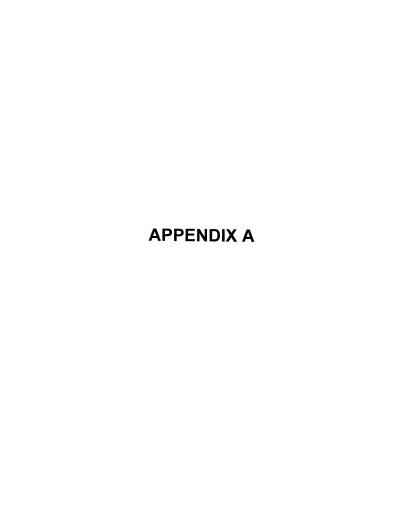
Respectfully submitted,

/Karen E. Klumas/

Karen E. Klumas Registration No. 31.070

Attorney for Applicant(s)

KEK/sa (201) 894-2332



			ANTIN	(ICROBIAL CO	MPOS	SITIONS			
the specification of which (check o	only one item below):								
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I hereby state that I have reviewed above.	d and understand the	e contents of th	ne above-id	entified specific	ation,	including the clair	ns, as amended	by any amer	dment referred to
l acknowledge the duty to disclose §1.56.	e information which i	is material to th	e patentab	ility of this app	licatio	n in accordance v	with Title 37, Cod	e of Federal	Regulations,
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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International

Applications)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

(SUPPLEMENTAL)

! believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Attorney Oocket No. J3509(C)

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATT	ORNEY (Includes Reference to PCT International	Attomey Docket No. J3509(C)
Applications)		3390a(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201	

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	JOHNSON	Paula	Ann
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
CITIZENSHIP	Wirral	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	15 Homby Avenue, Bromborough	Wirral	United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	LANDA	Andrew	Sjaak
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Appleton	Wisconsin	Netherlands/USA
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	3300 Solitude Lane	Appleton	Wisconsin 54915

203

FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
INVENTOR	MAKIN	Stephen	Anthony
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lightwater, Surrey	United Kingdom	United Kingdom
POST OFFICE	PCST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	37 Mount Pleasant Close	Lightwater, Surrey GU18 5TR	United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like or made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the Linked States Code, and that show willful false statements may prepared the validity of the application or any patient issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR	203
		andrew Sauli Landon		
DATE		DATE October 2, 2000	DATE	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT international Applications)

Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c'o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	WINCHELL	Harry	SAUL
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1335 Lakeshire Drive	Walnut Creek	California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lafayette	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1 Via Oneg	Lafayette	California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the lies on made are punishable by fire or improvement, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR 205		SIGNATURE OF INVENTOR	206
Į.		SEE BELOW			
DATE		DATE		DATE	

Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for Harry Saul Winchell Date: Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for Harry Saul Winchell Date

the specification of which (check	only one item below):								
January 22, 2004, April 19, 2006	pplication Serial No. 09/764,734 or November 13, 2006, October 31, al application on	2007, July	28, 2008, and J	lune 1	 2009 (if applica 	able)	per 31, 20		uary 8, 2003,
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United Kingdom	0001132.0				18* January 20	000			YES
	itle 35, United States Code §119(e			es pro	ovisional application	on(s) listed below	r.		
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States of America that is/are listed the manner provided by the first p of Federal Regulations §1.56 white	Title 35, United States Code §120 I below and, insofar as the subject aragraph of Title 35, United States th occurred between the filing date INS OR PCT INTERNATIONAL A	matter of e Code §11 of the prio	each of the claim 2, I acknowleds r application(s)	is of the ge the and th	nis application is n duty to disclose n se national or PCT	not disclosed in ti naterial informati international fili	hat/those p on as defi ng date of	prior ap ned in this ap	plication(s) in
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PCT APPLICATION NO.	PCT FILING DATE		U.S SERIAL I						

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) (SUPPLEMENTAL)

I believe I am the original, first and sixe inventor (if only one name is ideal below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANTIMICROBIAL COMPOSITIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

Attorney Docket No. J3509(C)

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (includes Reference to PCT international Applications)	Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	JOHNSON	Paula	Ann
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
CITIZENSHIP	Wiral	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	15 Homby Avenue, Bromborough	Wirral	United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	LANDA	Andrew	Sjaak
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Appleton	Wisconsin	Netherlands/USA
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	3300 Solitude Lane	Appleton	Wisconsin 54915

203

FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
INVENTOR	MAKIN	Stephen	Anthony
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lightwater, Surrey	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	37 Mount Pleasant Close	Lightwater, Surrey GU18 5TR	United Kingdom

I hereby decise that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under section 1001 of Title 10 of the United States Code, and that such willful false statements may leopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE	-	DATE OF OCTOBER 09	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS cro Unilever Research Port Sunlight, Cuarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

203			
FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	WINCHELL	Harry	SAUL
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lafayette	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1 Via Oneg	Lafayette	California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	C TY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that fless estatements were made, with the knowledge, that willful false statements and their loss or made are purishable by fire or implicable or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR	205	SIGNATURE OF INVENTOR	206
SEE BELOW					
DATE		DATE	_	DATE	

Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for Harry Saul Winchell Date: Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for Harry Saul Winchell Date

the specification of which (check	k only one item below):							
□ is attached hereto.								
was filed as United States	application Serial No. 09/764,734	on January	17, 2001 and w	as ame	ended on Januar	ry 17, 2001, Octo	ber 31 200	1 January 8 2003
January 22, 2004, April 19, 200	November 13, 2006, October 3	31. 2007. July	y 28, 2008, and	June 1	 2009 (if applic 	able)		Transaction Code
■ was filed as PC1 internation	nal application on		_ and was ame	nded u	nder PCT Article	19 on(if	applicable)	
I hereby state that I have review above.	red and understand the contents of	of the above	identified specif	ication	, including the cl	aims, as amende	d by any an	nendment referred to
l acknowledge the duty to disclo §1.56.	se information which is material t	to the patenta	ability of this ap	plicati	on in accordance	with Title 37, Co	de of Feder	al Regulations,
application(s) for patent or inven	enefits under Title 35, United State anating at least one country other itor's certificate or any PCT intern ter having a filing date before that	than the Uni	ited States of An	nerica ofino o	listed below and	have also identif	and halam a	mr forming
PRIOR FOREIGN/PCT APPLIC	ATION(S) AND ANY PRIORITY	CLAIMS UN	IDER 35 U.S.C.	119:			-	
COUNTRY (if PCT, indicate *PC	T") APPLICA	TION NUME	BER		DATE OF FILE	ING		PRIORITY
					(day, month, year)			CLAIMED
	i				l			UNDER
United Kingdom	0001133							35 U.S.C. 119
					18* January 2000			YES
Jnited Kingdom	0001132.	.0			18th January 2	000		YES
	Title 35, United States Code §11s			tes pro	wisional applicati	ion(s) listed below	v.	
APPLICATION NUMBER					E OF FILING (d.			
				UA	E OF FILING (G	ay, month, year)		
plates of America that is/are liste the manner provided by the first p	Title 35, United States Code §12 d below and, insofar as the subje- paragraph of Title 35, United Stat- ich occurred between the filing da	ect matter of a es Code \$11	each of the clain 12. Lacknowled	ns of th	nis application is a	not disclosed in t	hat/those pr	for application(s) in
PRIOR U.S. APPLICATION	ONS OR PCT INTERNATIONAL	APPLICATION	ONS DESIGNA	TING 1	THE U.S. FOR B	ENEFIT UNDER	35 U.S.C. 1	20.
J.S. APPLICATIONS				STATUS (CHECK ONE)				
U.S. APPLICATION NUMBER U.S. FILI			ING DATE PATENTED P		PENDIN	G ABANDONEE		
CT APPLICATIONS DESIGNA	TIMO THE IT O			_				
	T							
PCT APPLICATION NO.	PCT FILING DATE		U.S SERIAL I				1	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (includes Reference to PCT International Applications)

I believe I am the original, first and scie inventor (if only one name is listed selevily or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention endedless:

ANTIMICROBIAL COMPOSITIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

Attorney Docket No. J3509(C)

	COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)
Į.	The state of the s	

POWER OF ATTORNEY: As a named inventor. I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME			
	JOHNSON	Paula	Ann			
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP			
CITIZENSHIP	Wirtal	United Kingdom	United Kingdom			
POST OFFICE	PCST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY			
ADDRESS	15 Homby Avenue, Bromborough	Wirral	United Kingdom CH62 ATQ			

202

FULL NAME OF INVENTOR	FAVILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	LANDA	Andrew	Sjaak
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Appleton	Wisconsin	Netherlands/USA
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	3300 Solitude Lane	Appleton	Wisconsin 54915

203

FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME						
INVENTOR	MAKIN	Stephen	Anthony						
RESIDENCE & CITIZENSHIP CITY Lightwater, Surrey		STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom						
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY						
ADDRESS	37 Mount Pleasant Close	Lightwater, Surrey GU18 5TR	United Kingdom						

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willfull false statements and the like so made are punishable by fine or imprisonment; or both, under section 1001 of Title 130 of the United States Code, and that such willfull false statements may opported the validity of the spatication or any patient is using thereon.

SIGNATURE OF INVENTOR 201	201 SIGNATURE OF INVENTOR		SIGNATURE OF INVENTOR	203
DATE	DATE DATE		DATE	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

207									
FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME	SECOND GIVEN NAME Robert						
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom						
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom						

206

203					
FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME		
	WINCHELL	Harry	SAUL		
RESIDENCE & CITIZENSHIP	C TY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP		
	Walnut Creek	California	United States		
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY		
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595		

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME		
RESIDENCE & CITIZENSHIP	CITY Lafayette	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States STATE & ZIP CODE/COUNTRY California 94549		
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1 Via Oneg	CITY Lafayette			

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME W NCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME		
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP		
	Walnut Creek	California	United States		
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY		
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were called the made of the statements and the first statements and the file was or made are punishable by fine or imprisonment, or both, under section 1001 of Tale 18 of the United States Code, and that such willful false statements may peopartize the validate of the application or any patient issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR SEE BELOW	205	SIGNATURE OF INVENTOR	206
DATE 5th November 2009		DATE		DATE	

Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for Harry Saul Winchell Date: Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for Harry Saul Winchell

Date

My residence, post office address and citizenship are as stated below next to my name.										
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:										
ANTIMICROBIAL COMPOSITIONS										
the specification of which (check of	nly one item below)	c								
January 22, 2004, April 19, 2006,	is attached hereto. Its attached hereto. Its was filled as United States application Serial No. 09764.734 on January 17, 2001 and was amended on January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2008, November 13, 2006, October 31, 2007, and July 28, 2008 (if applicable) was filed as PC immensional application on (if applicable) was filed as PC immensional application on (if applicable)									
									•	
I hereby state that I have reviewed above.	and understand the	e contents of t	ne above-i	dentified specifi	cation,	including the clair	ms, as amended) by any a	menar	nent reterred to
I acknowledge the duty to disclose §1.56.	information which i	s material to th	ne patenta	bility of this app	olicatio	n in accordance v	with Title 37, Co	de of Fede	eral Re	gulations,
I hereby claim foreign priority bene international application(s) design: application(s) for patent or invento by me on the same subject matter	ating at least one co r's certificate or any	untry other that PCT internation	n the Unit onal applic	ed States of Am ation(s) designa	erica I ting at	isted below and h	ave also identifi	ed below a	any for	eign .
PRIOR FOREIGN/PCT APPLICA	TION(S) AND ANY	PRIORITY CL	AIMS UNI	DER 35 U.S.C. 1	119:					
COUNTRY (if PCT, indicate "PCT	")	APPLICATION NUMBER				DATE OF FILING (day, month, year)			C	RIORITY SLAIMED INDER 5 U.S.C. 119
United Kingdom		0001133.8				18th January 2000				YES
United Kingdom		0001132.0				18th January 2000				YES
I hereby claim the benefit under Ti	tle 35, United States	Code §119(e) of any of	any United Stat	es pro	visional applicatio	n(s) listed below	r.		
PRIOR U.S. PROVISIONAL APP	LICATION(S) FOR I	BENEFIT UND	ER 35 U.	S.C. 119(e)					7	
APPLICATION NUMBER					DAT	E OF FILING (da	y, month, year)			
States of America that is/are listed the manner provided by the first pa	I hereby claim the benefit under Tille 35. United States Code § 120 of any United States application(s) or PCT international application(s) designating the United States of America that states littled below and, insofar as the subject matter of each of the claims of this application is not disclosed in thatflives prior application(s) in the manner provided by the first paragraph of Tifle 35, United States Code § 112, I disclowed-leg the fully of disclower antiest of information as defined in 1637, Code of Foderal Regulations § 1.56 which occurred between the filling date of the prior application(s) and the national or PCT international filling date of this application.									
U.S. APPLICATIONS	N3 OK FCT INTER	INTIONAL A	FLICATI	DN3 DESIGNA	IIIG	STATUS (CHE		33 0.3.0	. 120.	
U.S. APPLICATION NUMBER U.S.			U.S. FIL	ING DATE		,	PATENTED PENDING		NG	ABANDONED
PCT APPLICATIONS DESIGNAT	ING THE U.S.					70%				
PCT APPLICATION NO. PCT FILING DATE				U.S SERIAL NUMBERS ASSIGNED (if any)						
V										

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International

(SUPPLEMENTAL)

Applications)

As a below named inventor, I hereby declare that:

Attorney Docket No. J3509(C)

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attomey(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	JOHNSON	Paula	Ann
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
CITIZENSHIP	Wirral	United Kingdom	United Kingdom
POST OFFICE	PCST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	15 Homby Avenue, Bromborough	Wirral	United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	LANDA	Andrew	Sjaak
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Appleton	Wisconsin	Netherlands/USA
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	3300 Solitude Lane	Appleton	Wisconsin 54915

203

FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
INVENTOR	MAKIN	Stephen	Anthony
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lightwater, Surrey	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	37 Mount Pleasant Close	Lightwater, Surrey GU18 5TR	United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that writtif lake statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 160 of the United States Code, and that use, will tall be statements may pisoprarite waiting of the solicities or any spatial issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE		DATE	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	WINCHELL	Harry	SAUL
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	FOST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	executrix) of Harry Saul Winchell MINCHELL BOOK		SECOND GIVEN NAME	
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Lafayette	California	United States	
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
ADDRESS	1 Via Oneg	Lafayette	California 94549	

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

I hereby declare that all statements made herein of my own includege are true and that all statements made on information and belief are believed to be true; and further that flewes statements were made with the trunching flew that life flewes statements and the flew so made are punishable by fire or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may proparatize the validate of the application or any patient issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR 205		SIGNATURE OF INVENTOR	206
		SEE BELOW			
DATE		DATE		DATE	

Signature of Legal Representative of Harry Saul Winchell (Deceased)

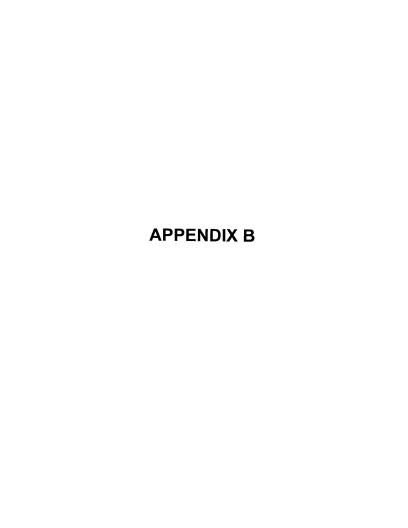
Bryna Winchell Ross (co-executrix) for Harry Saul Winchell

Date: If (16/6)

Signature of Legal Representative of Harry Saul Winchell (Deceased)

White Committee (westernia)

Ban Winchell Ross (co-executrix) for Harry Saul Winchell



From: Pye, Susan

Sent: Thursday, September 03, 2009 5:32 AM

To: Whaley, Christopher Subject: RE: Paula Johnson

HI Chris.

Paula has moved to Manchester, I'm not sure of her address, I do have her mobile number.

I will give her a call and let her know you wish to get in touch with her.

Kind Regards

Sue

From: Whaley, Christopher

Sent: Tuesday, September 01, 2009 2:53 PM

To: Pye, Susan

Subject: Paula Johnson

Hi Sue.

I don't think we've met, but I was given your name by Sally Grimshaw as someone who may be able to help me with a correspondence address for our former employee Paula Johnson. In relation to a patent that Paula was named on when she worked for us, I need to sent her some papers for her to sign. Is this something you can help me with?

Best regards.

Chris

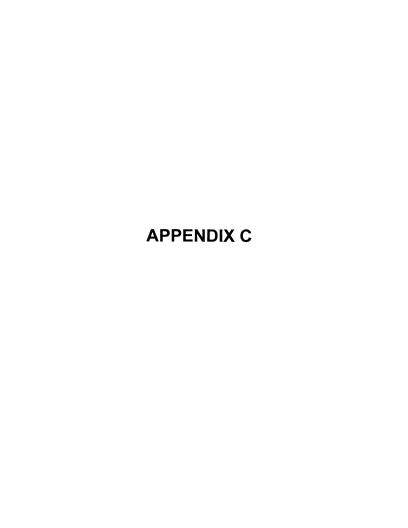
Dr Chris Whaley EPA RPA Patent Attorney Unilever PLC Unilever Patent Group Quarry Road East Bebington Wirral CH63 3JW

tel: 44 (0)151 641 3833 fax: 44 (0)151 641 1817

Unilever PLC

Registered in England & Wales, Company No 41424 Registered Office: Port Sunlight, Wirral, Merseyside, CH62 4ZD

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Unilever Patent Group 800 Sylvan Avenue Building AG West, S. Wing Englewood Cliffs, New Jersey 07632 Tel. #201-894-2332 Fax. #201-894-2400

September 29, 2009

Paula Ann Johnson 15 Hornby Avenue Bromborough Wirral, CH62 ATQ, United Kingdom

Dear Paula:

You are a named inventor on the following issued patents/pending applications:

U.S. Serial No. 09/764,734 U.S. Patent No. 6,503,490

As a result of decision in an arbitration proceeding involving same, Unilever will be filing petitions/requests to include Harry Saul Winchell as a co-inventor. To effect this correction in the US, Unilever needs to submit paperwork that includes either re-executed declarations on which Dr. Winchell is named as a co-inventor (this is for the pending applications) or a statement from the inventors named on the issued patents indicating that they do not object to the addition of Dr. Winchell as co-inventor.

The relevant statements/declarations are attached, together with copies of the applications, amendments and patents that these statements/declarations refer to. I would ask that you review, sign where indicated and return same to me in the attached pre-paid mailer. If the address on the declaration is incorrect, please indicate the correct address and initial at each place that has been corrected. Should you have any questions or comments regarding this request, please do not hesitate to contact me at karen.klumas@unilever.com, or call me at 201-894-2332.

Thank you in advance for your co-operation.

Sincerely

Karen E. Klumas

Assistant General Counsel

KEK/sa Encl.

Applications)	(SUPPLEMENTAL	(includes Reference to PC) international	J3509(C)
As a below named inventor, I hereby declare t	hat:		
My residence, post office address and citizens	hip are as stated below next to my name.		
I believe I am the original, first and sole invent matter which is claimed and for which a paten	or (if only one name is listed below) or an on t is sought on the invention entitled:	ginal, first and joint inventor (if plural names are lis	sted below) of the subject
	ANTIMICROBIAL	COMPOSITIONS	
the specification of which (check only one item	n below):		
January 22, 2004, Agn! 19, 2005, November : was filed as PCT international application hereby state that I have reviewed and under above. I acknowledge the duty to disclose in ormation §1.56. I hereby claim foreign priority benefits under international amplicational types.	13, 2006, October 31, 2007, and July 28, 200 on and was an and stand the contents of the above-identified spin which is material to the patentability of this title 35, United States Code, §119 of any for to one country other than the United States or any PCT intendional accilications (see	needed under PCT Article 19 on	able) ny amendment referred to Federal Regulations, site or of any PCT low any foreign
PRIOR FOREIGN/PCT APPLICATION(S) AN	ID ANY PRIORITY CLAIMS UNDER 35 U.S	.C. 119:	
COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18th January 2000	YES
United Kingdom	0001132.0	18th January 2000	YES
I hereby claim the benefit under Title 35, Unite	ed States Code §119(e) of any of any United	States provisional application(s) listed below:	
PRIOR U.S. PROVISIONAL APPLICATION	S) FOR BENEFIT UNDER 35 U.S.C. 119(e)		
APPLICATION NUMBER		DATE OF FILING (day, month, year)	

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that Islane islated below and, insofar as the subject matter of each of the claims of this application is not disclosed in halthose prior application(s) in the manner provide by the first paragraph of Title 35, United States Code §112, daknowledge the buylo disclose material information as definited and information as definited information

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATIONS		STATUS (CHE	CK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S SERIAL NUMBERS ASSIGNED (if any)			

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International	Attorney Docket No.
Applications)	J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

20

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	JOHNSON	Paula	Ann
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
CITIZENSHIP	Wiral	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	15 Homby Avenue, Bromborough	Wirral	United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	LANDA	Andrew	Sjaak
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Appleton	Wisconsin	Netherlands/USA
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	3300 Solitude Lane	Appleton	Wisconsin 54915

203

FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
INVENTOR	MAKIN	Stephen	Anthony
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lightwater, Surrey	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	37 Mount Pleasant Close	Lightwater, Surrey GU18 5TR	United Kingdom

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SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR	203
DATE		DATE	DATE	

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Attorney Docket No. J3509(C)

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Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	MCMILLAN	lan	Robert
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
CITIZENSHIP	Wirral, Merseyside	United Kingdom	United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c'o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

203			
FULL NAME OF INVENTOR	FAMILY NAME WINCHELL	FIRST GIVEN NAME Harry	SECOND GIVEN NAME SAUL
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lafayette	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1 Via Oneg	Lafayette	California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

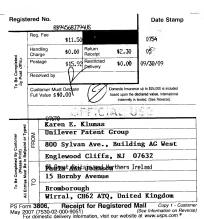
I hersty declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that flews statements are made with the knowledge that willful false statements and their less or made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR	205	SIGNATURE OF INVENTOR	206
		SEE BELOW			
DATE		DATE		DATE	

Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for Harry Saul Winchell Date: Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for Harry Saul Winchell Date





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Search Results

Label/Receipt Number: RB94 5683 794U S Class: First-Class Mail International Service(s): Registered Mail™ Return Receipt

Status: Arrived Abroad

Your item arrived in GREAT BRITAIN at 6:59 AM on October 7, 2009. Information, if available, is updated periodically throughout the day. Please check again later.

Detailed Results:

- Arrived Abroad, October 07, 2009, 6:59 am, GREAT BRITAIN International Dispatch, October 02, 2009, 7:15 am, ISC NEW YORK NY(USPS)
- - Acceptance, September 30, 2009, 4:04 pm, TENAFLY, NJ 07670

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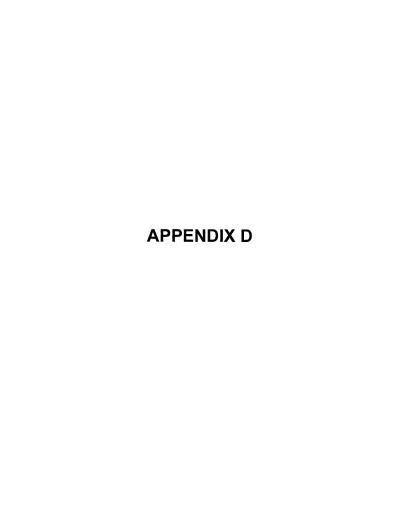
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No FEAR Act EEO Data

1.4. Astonials



From: Sloane, Jayne

Sent: Monday, December 07, 2009 6:45 AM

To: Klumas Karen

Subject: FW: Re: Paula Johnson's details Attachments: RE: Re: Paula Johnson's details

Karen.

fvi. Jane

Jane Sloane Office Manager & Patent Assistant to Sarah James/Chris Whaley/Aly Birch Unilever PLC Unilever Patent Group Quarry Road East Bebington, Wirral, CH63 3JW

≅(Phone) +44 151 641 3835 **[**(Fax) +44 151 641 1817 ⊠ jayne.sloane@unilever.com

Unilever PLC Registered in England & Wales. Company No. 41424

Registered Office: Port Sunlight, Wirral, Merseyside CH62 4ZD

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From: Collins, Rachael

Sent: Monday, December 07, 2009 4:55 AM

To: Sloane, Jayne

Subject: RE: Re: Paula Johnson's details

Javne

She asked for your number, so I gave her your details.

Rachael

From: Sloane, Javne

Sent: Wednesday, December 02, 2009 2:04 PM

To: Collins, Rachael Cc: Pearce, Tim

Subject: Re: Paula Johnson's details

Hi Rachel.

I have been asked to chase up Paula's details again by the US. Have you managed to make contact with her for me?

lane

Jane Sloane Office Manager & Patent Assistant to Sarah James/Chris Whaley/Aly Birch Unilever PLC Unilever Patent Group Quarry Road East Bebington, Wirral, CH63 3JW

≅(Phone) +44 151 641 3835 **(Fax)** +44 151 641 1817 ⊠ jayne.sloane@unilever.com



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From: Collins, Rachael

Sent: Tuesday, November 17, 2009 9:17 AM

To: Sloane, Javne

Subject: RE: re: Paula Johnson

Javne.

I sent her a text message this morning, but unfortunately she hasn't replied. I will be out of the office until

From: Sloane, Jayne

Sent: Tuesday, November 17, 2009 1:58 PM

To: Collins, Rachael

Subject: RE: re: Paula Johnson

Hi Rachel.

That would be great, there is a time constraint on this as I have to get the paperwork from the USA and get it back to them. Janex

Jane Sloane Office Manager & Patent Assistant to Sarah James/Chris Whaley/Aly Birch Unilever PLC Unilever Patent Group Quarry Road East Bebington, Wirral, CH63 3JW

≅(Phone) +44 151 641 3835 圓(Fax) +44 151 641 1817 ⊠ jayne.sloane@unilever.com

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From: Collins, Rachael

Sent: Tuesday, November 17, 2009 9:14 AM

To: Sloane, Jayne

Subject: RE: re: Paula Johnson

Paula has moved now ! She is married I will have to contact her first and ask her if its ok to give her details to you.

Regards.

Rachael

From: Sloane, Jayne

Sent: Friday, November 13, 2009 1:01 PM

To: Collins, Rachael

Subject: re: Paula Johnson

Hi Rachel.

I am hoping that you will be able to help me as I need to get in touch with Paula, I have patent papers that I need her to sign and my last address and telephone number

seem to be out of date. Do you have an address or phone number that I could contact her on. My address was 15 Hornby in Bromborough.

Thanks Jane

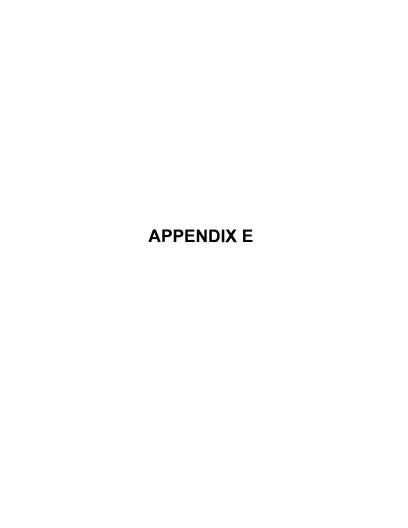
Jane Sloane Office Manager & Patent Assistant to Sarah James/Chris Whaley/Aly Birch Unilever PLC Unilever Patent Group Quarry Road East Bebington, Wirral, CH63 3JW

≅(Phone) +44 151 641 3835 **≅**(Fax) +44 151 641 1817 **⋈** jayne_sloane@unilever.com

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From: Klumas, Karen

Sent: Monday, December 07, 2009 6:08 PM

To: Collins Rachael

Cc: Sloane, Jayne; Aldahondo, Sally

Subject: Paula Johnson

Attachments: J3565-Statment-Johnson.doc; J3509-DECLARATION.doc; US6503490.pdf; J3509-

Amendments.pdf; J3509-Specification.pdf

Hi Rachael.

I'm the US patent attorney who is handling a couple of patent cases that Paula is an inventor on. Let me say that I fully understand your being careful about passing out Paula's contact information. So that Paula understands why we are attempting to reach her, please allow me to explain.

As mentioned, Paula is an inventor on two patent cases (one issued, one pending) that, along with a few other cases, were involved in an arbitration proceeding with another company. As a result of the arbitration (now over) it was determined that Dr. Harry Saul Winchell (from the other company) should be named as an additional inventor on all of those cases. I have the task of preparing the US paperwork to add Dr. Winchell as an inventor. To effect this change in the US, for the issued patent I need to submit to the Patent Office paperwork that includes a statement from each of the inventors of record saying that they have no disagreement to adding Dr. Winchell as a co-inventor. For the pending application, the paperwork includes a supplemental declaration from each of the named inventors that includes Dr. Winchell as a co-inventor.

I believe that there were 10 plus inventors in the pool of the cases that were the subject of the arbitration, most of whom have left Unilever. We have been able to locate everyone but Paula. If you could forward this e-mail to her, I would be much obliged.

I'm attaching the documents that are being requested (the two word documents), together with a copy of pending patent application, amendments to date, and the issued patent, so that Paula may review and refresh her recollection. The documents were prepared using the information of record. Assuming that Paula is willing to sign the declaration and statement, if she can provide us with her married name and either her address or post office box number, my secretary Sally fuldahondo will update the documents and e-mail them back for her to print and sign. I can arrange for Jayne to provide a prepaid mailer for the return of the signed documents.

If Paula has any questions or wishes to contact me, my information is given below; Paula should feel free to call me "collect".

I thank you both for your assistance in this matter.

Sincerely, Karen Klumas Associate Patent Counsel Unilever Patent Group 800 Sylvan Avenue -AG West, S. Wing Englewood Cliffs, NJ 07632 USA

Telephone: 201-894-2332 Fax: 201-894-2400

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If you received it in error please notify us immediately and then destroy it.

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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference Applications) (SUPPLEMENTAL)	to PCT International Attorney Docket No. J3509(C)
As a below named inventor, I hereby declare that:	
My residence, post office address and citizenship are as stated below next to my name	
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inv matter which is claimed and for which a patent is sought on the invention entitled:	entor (if plural names are listed below) of the subject
ANTIMICROBIAL COMPOSITIONS	
the specification of which (check only one item below):	
☐ is attached hereto. ISI was fled as United States application Serial No. <u>09/764.734</u> on <u>January 17, 2001</u> and was amended on <u>January 12, 2001</u> and was amended on <u>January 22, 2004</u> , Apri 19, 2006. November 13, 2006. October 31, 2007, and July 28, 2008 (if applicable)	nuary 17, 2001, October 31, 2001, January 8, 2003,

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, \$1.56.

was filed as PCT international application ______ on _____ and was amended under PCT Article 19 on ____

hereby down foreign printyl benefit under Tile 55, United States Code, 6 11 of any freeign application(c) for patient or inventor's certificate or of any PCT international application(c) designating a fast size one country other han be United States of America falsed below and have ablo identified below any foreign application(s) for patient or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by we not the same subject mattain having a filing date before the art the application(s) of which printy's California.

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

Tradit Charleter of the allertife (a)			
COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18th January 2000	YES
United Kingdom	0001132.0	18h January 2000	YES

I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:

PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)				
APPLICATION NUMBER	DATE OF FILING (day, month, year)			

I nearby claim he hernift under Title 35, United States code § 220 of any United States application(s) or PCT infernational application(s) designating the United States application(s) or PCT infernational application(s) designating the United States of America from the supplication is not discissored intributions prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 12.1, acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 156 within courses between the filing does of the prior application(s) and the final application(s) and the supplication(s) and the supplication(s) and the supplication of the

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATIONS		STATUS (CHE	CK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNA	ATING THE U.S.		
PCT APPLICATION NO.	PCT FILING DATE	U.S SERIAL NUMBERS ASSIGNED (if any)	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No.	
Applications	J3509(C)	ı

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	JOHNSON	Paula	Ann
RESIDENCE AND	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
CITIZENSHIP	Wirral	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	15 Homby Avenue, Bromborough	Wirral	United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	LANDA	Andrew	Sjaak
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Appleton	Wisconsin	Netherlands/USA
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	3300 Solitude Lane	Appleton	Wisconsin 54915

203

FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
INVENTOR	MAKIN	Stephen	Anthony
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lightwater, Surrey	United Kingdom	United Kingdom
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	37 Mount Pleasant Close	Lightwater, Surrey GU18 5TR	United Kingdom

I hereby deduce that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are purishable by the or imprisonment, or both, under section 1001 of Title 180 of the United States Code, and that such with false statements may leperadure the validy of the application or any patient statement thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE		DATE	

COMBINED DECLARATION FOR PATENT APP_ICATION AND POWER OF ATTORNEY (includes Reference to PCT international Applications)

Attorney Docket No. J3509(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

204			
FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME lan	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	W NCHELL	Harry	SAUL
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Lafayette	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS		Lafavette	California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	Walnut Creek	California	United States
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
ADDRESS	1935 Lakeshire Drive	Walnut Creek	California 94595

I hearby cickine that all statements made herino of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge between while filed sets telements and the like so made are purishable by their or propriorment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jacopardize the validity of the application or any patient issuing thereon.

	SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR 2	05	SIGNATURE OF INVENTOR	206
ı	SEE BELOW					
ı	DATE		DATE		DATE	

Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for Harry Saul Winchell Date: Signature of Legal Representative of Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for Harry Saul Winchell

Date

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on

Karen E. Klumas Reg. No. 31,070 Attorney for Applicant(s) Date of Signature

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent: U.S. 6,503,490
Date of Issue: January 7, 2003
Name of Patentee: Johnson et al.
Title of Invention: Deodorant Products

Mail Stop: PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Cliffs, New Jersey 07632

STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37 C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT

 Paula Ann JOHNSON a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Harry Saul Winchell as an inventor of this patent.

Paula Ann JOHNSON	

From: Collins, Rachael

Sent: Tuesday, December 08, 2009 3:19 AM

To: Klumas, Karen

Cc: Sloane, Jayne

Subject: RE: Paula Johnson

Karen

I will forward this email

Regards,

Rachael

From: Klumas, Karen

Sent: Monday, December 07, 2009 11:08 PM

To: Collins, Rachael

Cc: Sloane, Jayne; Aldahondo, Sally

Subject: Paula Johnson

Hi Rachael,

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If Paula has any questions or wishes to contact me, my information is given below; Paula should feel free to call me "collect".

I thank you both for your assistance in this matter.

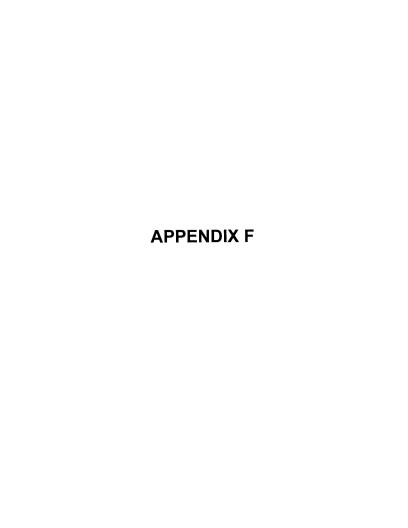
Sincerely, Karen Klumas Associate Patent Counsel Unilever Patent Group 80 Sylvan Avenue -AG West, S. Wing Englewood Cliffs, NJ 07632 USA

Telephone: 201-894-2332 Fax: 201-894-2400

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"Mail Stop: PETITION Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450"

on

Karen E. Klumas Reg. No. 31,070 Attorney for Applicant(s) Date of Signature **PATENTS**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent: Date of Issue: U.S. 6,503,490 January 7, 2003 Johnson et al.

Name of Patentee:

Deodorant Products

Mail Stop: PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Cliffs, New Jersey 07632

STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37 C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT

I, Paula Ann JOHNSON a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Harry Saul Winchell as an inventor of this patent.

Paula Ann JOHNSON